ERANet-LAC
2nd Joint Call on Research and Innovation

CALL TEXT

Proposal Submission Deadline:
Thursday 10th March 2016 (deadline 15.00 hrs CET)

Websites:
http://www.eranet-lac.eu (for Call Text and National / Regional regulations, Guidelines for Applicants and project description template)

Link to the CYTED Webtool: http://calleranet-lac.cyted.org

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Applicants should check the national /regional regulations of their funding organizations before they submit their proposal (see http://eranet-lac.eu/Joint_Calls.php).

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1. Role of ERANet-LAC and its Joint Calls

ERANet-LAC is funded by the European Commission, within the 7th Framework Programme for Research and Technology Development (FP7). The project supports the implementation of the Joint Initiative for Research and Innovation (JIRI) between the EU Member States and Associated Countries and the Community of Latin American and Caribbean States (CELAC). It strengthens the bi-regional partnership in Science, Technology and Innovation by planning and implementing concrete joint activities and by establishing an innovative and sustainable framework for future bi-regional joint activities.

The ERANet-LAC consortium includes 18 partners from 16 EU-CELAC partner countries.
The specific initiatives are demand-driven through a bottom-up approach, guaranteeing a real interest from both sides. This means that researchers from both regions have the opportunity to actively participate in bi-regional R&I projects initiated by ERANet-LAC. For further information on the ERANet-LAC activities please see http://eranet-lac.eu/about-eranet-lac.php.

1.1 ERANet-LAC Joint Calls

The aim of the ERANet-LAC Joint Calls is to start new, sustainable and multilateral research cooperation between researchers from Europe, Latin-America and the Caribbean countries.

Within the framework of the 2nd ERANet-LAC Joint Call, transnational research and innovation projects will be funded for a period of up to 36 months.

The goal of the Joint Transnational Call is to create long-term collaboration between EU Member States and/or Associated Countries, Latin-American and Caribbean countries by submitting transnational calls in research and innovation.

2. Design of the 2nd ERANet-LAC Joint Call

The design of the 2nd ERANet-LAC Joint Call is of a flexible nature to ensure that a wide variety of funding institutions is able to join the Joint Call – and that as many researchers as possible from European, Latin-American and Caribbean countries are eligible for funding. For this reason, each participating funding institution will apply its individual national/ regional funding regulations.

Applicants should therefore, before the submission of a proposal, check the national /regional regulations of their funding organizations (see http://eranet-lac.eu/Joint_Calls.php). Furthermore, they are strongly recommended to contact the National/Regional Call Contact Person (listed PART 2) for guidance.

2.2 Participating countries/regions and Call Topics

In total, 32 national/regional funding organizations have agreed to participate in the 2nd ERANet-LAC Joint Call for funding research and innovation projects:

1. Argentina: Ministry of Science, Technology and Productive Innovation, MINCyT,
2. Barbados: Caribbean Science Foundation Inc., CSF
3. Belgium: Belgian Science Policy, BELSPO
4. Belgium: Fund for Scientific Research, F.R.S.-FNRS

5. Bolivia: Ministry of Education - Vice Ministry of Science and Technology, MINEDU
6. Brazil: National Council for Scientific and Technological Development, CNPq
7. Brazil: [1] Research Support Foundation of the State of Rio de Janeiro, FAPERJ
8. Brazil: Research Support Foundation of the State of Sao Paulo, FAPESP
9. Chile: National Council for Science and Technological Research, CONICYT
10. Colombia: Administrative Department of Science, Technology and Innovation, COLCIENCIAS
11. Dominican Republic: Ministry of Higher Education, Science and Technology, MESCyT
12. Ecuador : Secretariat of Higher Education, Science, Technology and Innovation, SENESCYT
13. Finland : Academy of Finland, AKA
14. France: Institute of Research for Development, IRD
15. Germany: AiF Project GmbH | Project management agency of BMWi, AiF
16. Germany: Federal Ministry of Education and Research, BMBF
17. Guatemala: National Council of Science and Technology, CONCYT
18. Italy: Ministry of Health, SANITA
19. Italy: National Research Council, CNR
20. Latvia: State Education Development Agency, VIAA
21. Mexico: National Council of Science and Technology, CONACYT
22. Norway: The Research Council of Norway, RCN
23. Panama: National Secretary of Science, Technology and Innovation, SENACYT
24. Peru: National Council of Science, Technology and Technological Innovation, CONCYTEC
25. Poland: National Centre for Research and Development, NCBR
26. Portugal: [2] Foundation for Science and Technology, FCT
27. Romania: Executive Agency for Higher Education, Research, Development and Innovation Funding, UEFISCDI
28. Spain: Institute of Health Carlos III, ISCIII
29. Spain: Ministry of Economy and Competitiveness, MINECO
30. Trinidad and Tobago: Caribbean Council for Science and Technology, CCST
31. Turkey: The Scientific and Technological Research Council of Turkey, TUBITAK
32. Uruguay: National Research and Innovation Agency of Uruguay, ANII

[1] TBC = to be confirmed. At present the participation of applicants funded by FAPERJ (Brazil) and FCT (Portugal) is not fully secured. Please contact the respective call contact persons at FAPERJ and FCT (see page 31) before joining an international consortium.
[2] See above, footnote 1

Researchers from **Costa Rica and other countries not listed above** are free to participate in all topics as self-financed/associated partners (see below 2.3, page 6 for further information on self-financed/associated partners).

Proposals must be submitted by transnational consortia. Only researchers based in the countries/regions listed below each of the mentioned topics are eligible for funding through the ERANet-LAC Joint Call. Researchers from other countries / regions may also participate under special conditions (see page 6, section 2.3).

The following list shows the list of topics and the countries that are funding each of the topics mentioned (please see Annex 1 for full details on the topics):

BIODIVERSITY

Topic 1: Ecosystem-based adaptation and resilience

Participating countries: Argentina (MINCyT), Belgium (BELSPO), Bolivia (MINEDU), Brazil (FAPERJ and FAPESP), Chile (CONICYT), Dominican Republic (MESCYT), Ecuador (SENESCYT), Finland (AKA), France (IRD), Germany (AiF and DLR), Guatemala (CONCYT), Italy (CNR), Panama (SENACYT), Peru (CONCYTEC), Spain (MINECO), Romania (UEFISCDI), Trinidad and Tobago (CCST), Turkey (TUBITAK), Uruguay (ANII)

Topic 2: Waste management, recycling and urban mining

Participating countries: Argentina (MINCyT), Belgium (F.R.S.-FNRS), Bolivia (MINEDU), Brazil (FAPERJ and FAPESP), Dominican Republic (MESCYT), Germany (AiF and DLR), Guatemala (CONCYT), Italy (CNR), Latvia (VIAA), Panama (SENACYT), Peru (CONCYTEC), Poland (NCBR), Romania (UEFISCDI), Turkey (TUBITAK), Uruguay (ANII)

BIOECONOMY

Topic 3: Biorefinery - Fractionation and valorisation of residual biomass to intermediate and/or final high added value bioproducts

Participating countries: Argentina (MINCyT), Bolivia (MINEDU), Brazil (CNPq, FAPERJ and FAPESP), Chile (CONICYT), Colombia (COLCIENCIAS), Dominican Republic (MESCYT), Ecuador (SENESCYT), Finland (AKA), Germany (AiF and DLR), Italy (CNR), Latvia (VIAA), Norway (RCN), Panama (SENACYT), Peru (CONCYTEC), Poland (NCBR), Romania (UEFISCDI), Turkey (TUBITAK), Uruguay (ANII)

Topic 4: Biorefinery - Lignocellulosic biorefinery platform: Production of high-value bio-based products

Participating countries: Argentina (MINCyT), Bolivia (MINEDU), Brazil (CNPq, FAPERJ and FAPESP), Colombia (COLCIENCIAS), Dominican Republic (MESCYT), Ecuador (SENESCYT), Germany (AiF and DLR), Italy (CNR), Latvia (VIAA), Norway (RCN), Panama (SENACYT), Poland (NCBR), Romania (UEFISCDI), Turkey (TUBITAK), Uruguay (ANII)

ENERGY

Topic 5: Wind Energy - Advancement of small/medium-scale wind turbines in EULAC countries

Participating countries: Argentina (MINCyT), Bolivia (MINEDU), Brazil (FAPERJ and FAPESP), Dominican Republic (MESCYT), Finland (AKA), Latvia (VIAA), Mexico (CONACYT), Panama (SENACYT), Portugal (FCT-tbc), Spain (MINECO), Romania (UEFISCDI), Turkey (TUBITAK), Uruguay (ANII)

Topic 6: Solar thermal energy - Energy storage technologies

Participating countries: Argentina (MINCyT), Bolivia (MINEDU), Brazil (FAPERJ and FAPESP), Chile (CONICYT), Dominican Republic (MESCYT), Finland (AKA), Latvia (VIAA), Mexico (CONACYT), Panama (SENACYT), Peru (CONCYTEC), Poland (NCBR), Portugal (FCT-tbc), Romania (UEFISCDI), Spain (MINECO), Turkey (TUBITAK), Uruguay (ANII)

HEALTH

Topic 7: Cancer - Improving the quality of care and quality of life of dying cancer patients

Participating countries: Argentina (MINCyT), Bolivia (MINEDU), Brazil (CNPq, FAPERJ and FAPESP), Germany (AiF and DLR), Italy (SANITA), Latvia (VIAA), Norway (RCN), Panama (SENACYT), Poland (NCBR), Portugal (FCT-tbc), Spain (ISCIII), Romania (UEFISCDI), Turkey (TUBITAK), Uruguay (ANII)

Topic 8: Infectious diseases - Research in prevention of infectious diseases and promotion of well-being

Participating countries: Argentina (MINCyT), Belgium (F.R.S.-FNRS), Bolivia (MINEDU), Brazil (CNPq, FAPERJ and FAPESP), Dominican Republic (MESCYT), Ecuador (SENESCYT), Germany (AiF and DLR), Italy (SANITA), Latvia (VIAA), Panama (SENACYT), Peru (CONCYTEC), Poland (NCBR), Portugal (FCT-tbc), Romania (UEFISCDI), Spain (ISCIII), Turkey (TUBITAK), Uruguay (ANII)

ICT

Topic 9 (ICT in relation with HEALTH): Improving wellbeing and inclusiveness through e-health, m-health, and active and assisted living (AAL) solutions

Participating countries: Argentina (MINCyT), Barbados (CSF), Bolivia (MINEDU), Brazil (CNPq, FAPERJ and FAPESP), Dominican Republic (MESCYT), Italy (SANITA), Latvia (VIAA), Norway (RCN), Panama (SENACYT), Portugal (FCT-tbc), Romania (UEFISCDI), Spain (ISCIII), Turkey (TUBITAK), Uruguay (ANII)

Topic 10: Smarter, inclusive and sustainable cities

Participating countries: Argentina (MINCyT), Barbados (CSF), Belgium (F.R.S.-FNRS), Bolivia (MINEDU), Brazil (CNPq, FAPERJ and FAPESP), Chile (CONICYT), Dominican Republic (MESCYT), Ecuador (SENESCYT), Latvia (VIAA), Mexico (CONACYT), Panama (SENACYT), Peru (CONCYTEC), Portugal (FCT-tbc), Romania (UEFISCDI), Turkey (TUBITAK), Uruguay (ANII)

2.3 Composition of consortia

Applicants must be eligible for funding according to the regulations of their respective national Funding Organizations. They can represent public and private scientific, research, technological and innovation institutions on national, federal or EU-LAC regional level, research active industry and NGOs and other institutions involved in research activities, as long as they are eligible for funding according to the respective national and/or institutional regulations.

Only transnational projects will be funded. Each collaborative consortium should have the optimal critical mass to achieve ambitious scientific/innovation goals and should clearly show an added value from working together.

The following criteria must be taken into account: Each consortium submitting a proposal must involve a **minimum of four eligible partners from four different countries with at least two countries from each region** (see the list of funding organizations in 2.2 and contact persons in annex 3). A maximum number of national partners applying for funding will be defined in the institutional rules of each funding organization.

Partners not eligible for funding may also be part of consortia if they are able to clearly demonstrate an added value to the consortium and secure their own funding. However, the coordinator and the majority of partners in a consortium must be eligible for the funding organizations participating in this Call. The self-financed/associated partners must provide the Call Secretariat with a **signed official** letter of support from their Head of Department or Financial Director. A pdf-version of this letter must be included as an annex at the end of the

proposal before submitting. Self-financed partners cannot assume the role of coordinator of the consortium.

There should be a principal investigator (PI) for each of the national research groups. Each PI will act as contact person for his or her national funders. One of these PIs should be selected through the project consortium as coordinator to represent the consortium, submit the proposal, and establish any further communication with the Call Secretariat.

A coordinator must not submit more than one proposal. However, one research institution – as a legal entity – is allowed to participate as a coordinator or partner in several project proposals.

NOTE: How to find partners
ERANet-LAC supports the identification of partner institutions in Latinamerica/Caribbean and Europe. On the project website **http://eranet-lac.eu/Joint_Calls.php** a partner search tool is published to help bringing together interested applicants from countries in both regions.
All requests will be published in the search tool and made available to all interested institutions immediately.

2.3.1 Consortium Agreement

Each consortium selected for funding must provide a Consortium Agreement (CA), signed by all participants, to clarify the potential Intellectual Property Rights (IPR) matters (such as licensing in, licensing out, patent and exploitation strategy). The consortium agreement must be sent to the call secretariat before 31st January 2017. It must address (as a minimum), the following points:

- Common start date and duration of the research and / or innovation project
- Organization and management of the project
- Role and responsibilities of each partner resources and funding
- Confidentiality and publishing
- Intellectual Property Rights
- Decision making within the consortium
- Handling of internal disputes
- The liabilities of the research partners towards one another (including the handling of default of contract)

Any issues regarding funding are a bilateral matter between each project partner and the relevant funding organization and should be excluded from the CA. The CA, together with any other information required by national regulations, must be made available upon request to the national funding agencies.

Standard documents that can be used as templates and modified according to the specific needs of the consortium can be found at: http://www.desca-2020.eu/ . Further instructions will be provided by the Call Secretariat to the coordinators of the projects selected for funding

2.4 Allowable costs and duration of funding

Since funding will be administered according to the terms and conditions of the responsible funding organizations the concrete costs that can be financed through the project may vary for individual partners in a given project consortium. It is therefore important to check the national

rules of the Funding Parties and to contact the respective national Call Contact Person. Both can be found in **annex 3 and at http://eranet-lac.eu/Joint_Calls.php.**

The duration of a project can be up to **36 months** (check national regulations). Approved projects should start between November 2016 and January 2017..

2.5 Call budget and funding principle

The ERANet-LAC Joint Call follows the ***juste retour* principle**. It means that the national and regional financial contributions to a virtual common pot will be assigned to project partners of the respective country and region only, in accordance with national and regional regulations.

The overall budget of the ERANet-LAC Joint Call is the sum of the individual budgets allocated by each participating funding institution. If more than one funding institution from a given country participates in the Joint Call, the added amount of these institutions is considered as the country's overall Joint Call contribution.

An overview of the contribution from each funding institution to each of the call topics is given in Annex 2.

Some funding institutions may decide to set an **upper limit for the budget that can be requested per project partner** from their country. The upper funding limits may thus vary from one country to the other**. Applicants should therefore thoroughly check the national and regional regulations stipulated at http://eranet-lac.eu/Joint_Calls.php** and are strongly recommended to contact their National Call Contact Persons (page 30, annex 3) before submitting their proposal.

3. Proposal submission

Project proposals must be submitted electronically using the CYTED webtool which is accessible directly at: **http://calleranet-lac.cyted.org** and through a link given on the ERANet-LAC Website: www.eranet-lac.eu.The only currency to be applied in the proposal is EURO.

The coordinator should fill in the webtool on behalf of the whole consortium and submit the proposal. Thus only one online proposal per project is needed. The coordinator must confirm that the proposal is endorsed by all project partners by clicking the relevant box in the CYTED webtool. A printed version of the proposal should not be sent to the Call Secretariat, but may be required by some national funding agencies (see National regulations).

The CYTED Online Submission Form is structured in four different fields or pages, each one including various sections (described below) to be filled in by the applicants. Additionally, there is a fifth page for checking and submitting the proposal.

1st page: PROJECT
The general information of the project is requested on this page. Sections:

- **Section 'Project data'**:

- Topic: Preceeded by the respective thematic area. Here, the 10 call topics are listed; the applicants must select the topic where they wish to address their proposal to.
- In the following, all table fields below must be filled with the relevant technical project data

- **Section 'Executive summary'**: Brief text summarizing the aim of the proposal, its specific objectives, expected results (research and/or innovation potential, economic benefit, commercialization, etc.). Maximum length: ½ page.
  Note: Other than the below mentioned publishable summary, the Executive Summary addresses only the evaluators and may have some content which shall not be dedicated to the public.

2nd page: PARTNERS

- **Section 'Partner data'**:

- Please fill the table fields below with the relevant financial technical partner data

- **Section 'Financial data'**:

- Please fill the table fields below with the relevant financial project data
- Type of partner (beneficiary or associated/self-financed)
- Funding agency: Insert in this box the full name of the funding agency.
- Register again the full name and country of the funding agency selected:
- Activity type (Higher education, research, industry, SME, others)
- Total effort (person months)
- Total costs (€)
- Total requested funding (€)

- **Section 'Project costs'**:

- Personnel costs: average monthly salary (€), person-months, total costs (€), requested budget (€).
- Equipment: description, total costs (€), requested funding (€).
- Materials: description, total costs (€), requested funding (€).
- Subcontracting: subcontractor, description, total costs (€), requested funding (€).
- Travel and subsistence costs: description, total costs (€), requested funding (€).
- Other costs: description, total costs (€), requested funding (€).
- Overheads: percentage overheads, total costs (€), requested funding (€).

- **Section 'CV and professional experience'**: brief CV of the coordinator and the group leader including the five more relevant publications of the last five years.

3rd page: TECHNICAL DESCRIPTION

Sections:

- **Publishable summary of the project**: for publication purposes. Maximum length ¼ page.

- **Scientific and technological challenge**: applicants are requested to describe the relation and relevance of the project to the topic, and the international competitiveness, novelty and innovation potential of the proposal. Maximum length 1 page.

- **Technical and scientific description of the project**: applicants are requested to describe the state of the art; technical milestones and expected results; methodologies and technologies proposed to obtain goals; recent research relevant to the project

undertaken by the project partners; brief CV of each partner, emphasizing the scientific/technical expertise which is crucial for the success of the project. Maximum length: 4 pages.

- **Work plan**: applicants are requested to describe the project structure; individual work package description (milestones, deliverables, time schedule) and partners involved; risks assessment (including scientific/technology, management and commercial risks); viability and feasibility of the proposal, emphasizing the relevant expertise of the partners, and the existing and requested resources (equipment, manpower, etc.); monitoring and management of the project. Maximum length: 3 pages.

- **Transnational/EU-CELAC related benefit & added value:** applicants are requested to describe the relevance of the proposal in terms of transnational cooperation, and importance of complementarity of the expertise of EU and CELAC partners; added value of the transnational cooperation (e.g. future potential to participate in other transnational collaborative activities such as EU Framework Programme, extent of knowledge between partners including exchange of personnel, etc.). Maximum length: ½ page.

- **Exploitation of results and (if applicable) economic impact**:
  Scientific and technological impact of the project: Explain the relevance and importance of the project proposed, in terms of concrete applications (scientific, technological, innovative) and in terms of economic and societal impact.
  If applicable, include publications, management of intellectual property issues, commercial exploitation and/or impact, implementation of projects results, future strategy, etc; other valorisation potential. Maximum length: 3 pages.

- **Main facilities and equipment**: applicants are requested to describe, if applicable, any significant facility or large-scale equipment that is available to the consortium in order to fulfil the aims of the project. Maximum length: ½ page.

- **Status of the consortium agreement**: applicants are requested to provide a brief outline of the Consortium Agreement, including whether it is at the initial or final draft stage, or in the process of being signed; and an indication as to the expected date of the agreement signature. Maximum length: ½ page.

- **Related proposal submitted to other funding agencies**: applicants are requested to indicate whether the project (as a whole or parts of it) has been submitted to other funding agencies. If so, please indicate the funding agency, the final outcome, and any potential overlapping (complementarity, synergy) with the present proposal. Maximum length: ½ page.

4th page: ANNEX

Any additional information relevant for the proposal can be added here (e.g. technical drawings, diagrams, charts, etc.), including the Letter of Commitment of the self-financed / associated partners.

5th page: SUBMISSION

- **Section 'Check'**: before sending the proposal, it is possible to check the format and compliance with the application requirements. This revision is done automatically when the complete proposal is submitted but it can also be done at any time during the preparation of the proposal.

- **Section 'Draft'**: before submitting the proposal it is possible to generate a .pdf draft in order to detect and correct possible mistakes, and to check that all the information required is being provided in the proposal.

- **Section 'Submit'**: this section allows the final submission of the proposal. The data will be saved and the applicant will be able to generate a .pdf file for saving or printing purposes. Once the proposal has been submitted, it is not possible to modify it.

Proposals sent by post, e-mail, fax, telex or facsimile will be rejected. All proposals must be written in English.

Once the proposal is submitted the web-tool sends a confirmation by email:

Subject: [ERANet-LAC 2015/16] Proposal form submitted: Propsal No. XXX

Body of the message:

Dear Sir/Madam,
Your application form has been submitted successfully, with reference to: Proposal No. XXXX
Please find attached the proposal form submitted.
ERANET-LAC Joint Call Office

Recipients:
To: email address of the applicant.

Attachments: .pdf of the application form

The webtool will be open for proposal submission from 1st December 2015 15.00 hrs CET to 10th March 2016 (deadline 15.00 hrs Central-European Time).

More information on how to submit a proposal with the CYTED online tool can be found in the Guidelines for Applicants.

Some funding organizations may ask the applicant to submit a parallel proposal to the funding organization in line with the national/regional requirements. This can be done once the joint proposal has been submitted to the Call Secretariat or after the joint proposal has been evaluated. These additional proposals submitted to the national/regional funding organizations may be evaluated or may not be evaluated by the funding organization, according to the rules and regulations of the funding organization. For further details about each funding organization's requirements with regard to proposal submission, please see http://eranet-lac.eu/Joint_Calls.php.

4. Proposal evaluation and funding decision

4.1 Evaluation and Selection Procedure

4.1.1 Evaluation Procedure

The evaluation process involves four steps:

1) Eligibility check: Will be done by the Call Secretariat, in cooperation with the national partner representatives.

2) External written peer review: Will be done remotely by at least three experts covering the specific fields of the research topic(s) addressed in the 2nd ERANET-LAC Call. Each evaluator fills in an individual evaluation form whereby s/he assigns a score to each evaluation item. The evaluator also assesses the alignment of the Proposal with the objectives and scope of the call.
3) Ranking of proposals according to the external evaluation results, selection of the best proposals and funding recommendations: Will be done by the Scientific Evaluation Committees (SECs) in a consensus meeting, organized by the Call Secretariat. Each SEC should have at least three experts.
4) Selection of the proposals recommended for funding: Will be done by the Group of Funding Parties Final funding decision: Will be done by the respective national Funding Party, taking into account the evaluations and the budget allocated, and all applicable national regulations.

The Scientific Evaluation Committees will formulate a short consensus report for each proposal (strengths and weaknesses) that can be forwarded upon request to the coordinators of the proposals after the evaluation and decision by the Group of Funding Parties has been completed.

4.1.2 Eligibility Check / Eligible beneficiaries

Applicants are strongly advised to contact their National Call Contact Persons in due time before submission to check their national eligibility. The list of CCPs is provided in annex 3 and also on the call website (www.eranet-lac.eu/Joint_Calls).

A proposal must:

- Conform to the scope and the thematic focus of the call as described in Annex 1;
- meet the consortium composition requirements as specified above, page 6, section 2.3;
- comply with the maximum allowed duration (see page 7, section 2.4);
- comply with the funding principles as specified (see page 9, 2.5 and National Funding Regulations listed at http://eranet-lac.eu/Joint_Calls.php);
- comply with the terms of the submission procedure as specified in paragraph 3: (page 8f, proposal submission);
- be complete according to the rules and in line with the required proposal structure described in the Guidelines for Applicants;
- be submitted in English;
- be submitted electronically using the online tool at **http://calleranet-lac.cyted.org (**see page 8 f, section 3);
- meet the submission deadline (see pages 1, 11 and 16).

Following submission, proposals will be subjected to an eligibility check.

First, the Call Secretariat will check the eligibility of the proposals against the criteria agreed by the Group of Funding Parties.

It will then inform the Group of Funding Parties about the results, providing the rationale for non-eligibility of individual proposals (if relevant) and ask the members of the GFP to check and confirm the eligibility of applicants from their country, according to their national regulations (see **http://eranet-lac.eu/Joint_Calls.php for National Regulations)**.

Finally, the Funding Parties will approve the list of eligible proposals from their national applicants to the Call Secretariat.

The Call Secretariat will then inform the Group of Funding Parties (GFP) about the results providing the rationale for non-eligibility of individual proposals (if relevant).

Only proposals meeting all the above-mentioned eligibility criteria will be processed by the Call Secretariat. Non-eligible proposals will be rejected. The applicants will be informed by the Call Secretariat.

Decisions about eligibility of proposals by the GFP are final.

4.1.3 Evaluation criteria

The evaluation procedure will be done according to the criteria defined in the following:

1. Excellence

Note: The following aspects will be taken into account, to the extent that the proposed work corresponds to the topic description:

- Clarity and pertinence of the objectives;
- Credibility of the proposed approach;
- Soundness of the concept, including trans-disciplinary considerations, where relevant;
- Extent that proposed work is ambitious, has innovation potential, and is beyond the state of the art (e.g. ground-breaking objectives, novel concepts and approaches).

2. Impact

Note: The following aspects will be taken into account, to the extent to which the outputs of the project should contribute at the European and/or International level:

- The expected impacts listed in the topic description under the relevant topic;
- Enhancing research and innovation capacity and integration of new knowledge;
- Any other environmental and socially important impacts;
- Effectiveness of the proposed measures to exploit and disseminate the project results (including management of IPR), to communicate the project, and to manage research data where relevant.
- In case of industry and SME participation: Strengthening the competitiveness and growth of companies by developing innovations meeting the needs of global markets, and where relevant, by delivering such innovations to the markets
- Added value for the EU-LAC cooperation in R&D&I

3. Quality and efficiency of the implementation

Note: The following aspects will be taken into account:

- Coherence and effectiveness of the work plan, including appropriateness of the allocation of tasks and resources;
- Complementarity of the participants within the consortium (when relevant);
- Appropriateness of the management structures and procedures, including risk and innovation management.

4.1.4 Rating Scores

Each of the mentioned evaluation criteria will be measured through categories and on the below 5 - 0 scale.

EXCELLENT = 5 points **The proposal successfully addresses all relevant aspects of the criterion. Any shortcomings are minor.**
VERY GOOD = 4 points **The proposal addresses the criterion very well, but a small number of shortcomings are present.**
GOOD = 3 points **The proposal addresses the criterion well, but a number of shortcomings are present.**
FAIR = 2 points **The proposal broadly addresses the criterion, but there are significant weaknesses.**
POOR = 1 point **The criterion is inadequately addressed, or there are serious inherent weaknesses.**
0 points **The proposal fails to address the criterion or cannot be assessed due to missing or incomplete information.**

No additional criteria will be used for evaluation and selection of the proposals.

4.2 Priority Ranking through of the Scientific Evaluation Committees

The Scientific Evaluation Committees (SECs) approved by the GFP and constituted by experts or scientific experts, will rank the proposals based on the online evaluations and internal discussions and **recommend to the GFP a list of proposals to be funded.**

4.3 Funding Organizations' Meeting

The GFP will take the **final decision on the proposals** to be recommended for funding on a consensus basis, based on the recommendations of the Scientific Evaluation Committees. It will discuss and approve the recommended projects according to the ranking list and available budget. The formal funding decisions are made by the national funding organizations. The funding will be administered according to the terms and conditions of the participating national and regional funding institutions, taking into account the applicable regulations and available funding.

All applicants will be informed about the outcomes of the evaluation within one month after the funding decision.

5. Funding contract

Following the funding decision, all applicants will be informed by the Call Secretariat about the results of the evaluation process and the next steps to be taken. From then, the national phase will start in each participating country or region. The project partners of each proposal to be funded will conclude an individual funding contract with their respective national/regional funding institution. This may mean that partners of a successful proposal will have to submit an additional application to their national/ regional funding institution to receive their funding.

Before the start of the funding, the Call Secretariat will send a fact sheet with ERANet-LAC regulations that will apply to all projects participating in the ERANet-LAC Joint Call to all partners of a successful project.

6. Project implementation and reporting

Each consortium funded in the frame of ERANet-LAC 2nd Joint Call must sign a **Consortium Agreement** listing the rights and responsibilities of each project partner (see page 7, section 2.3.1). Depending on the nature of the funded project, special regulations should be included in the Consortium Agreement regarding **Intellectual Property Rights**. Scientific and technological results and any other information derived from the project can be announced, published or commercially exploited with the agreement of the partners of the funded projects and according to the national/regional regulations as well as international agreements concerning intellectual property rights.

The following regulations will apply to all projects that are funded in the frame of the ERANet-LAC 2nd Joint Call:

- In any publication of results, mention must be made of the support received in the frame of the ERANet-LAC 2nd Joint Call ("This work was supported by …). The ERANet-LAC logo and the internet address http://www.eranet-lac.eu should also be shown on the publication.
- Funding recipients must ensure that all outcomes (publications, etc.) of funded projects include a proper acknowledgement of ERANet-LAC and the respective national/regional funding partner organizations.

The coordinators of the funded projects will be requested to send the consortium agreement to the ERANet-LAC Call Secretariat, latest 31st January 2017.

Individual reporting to the national/regional funding institutions might be necessary depending on national/regional regulations.

The progress and final results of each individual contract/letter of grant will be monitored by the respective national/regional funding organizations.

7. Time schedule for the 2nd ERANet-LAC Joint Call

Publication of the Call for Proposals	Tuesday, 1st December 2015 (15.00 CET)
Deadline for proposal submission	Thursday, 10th March 2016 (15.00 CET)
Eligibility check	Starting with the submission of the proposals, ending17th March 2016
External evaluations	1st April until 27th May 2016
Scientific Evaluation Committees' meeting (ranking of proposals)	13th June – 8th July 2016
Meeting of funding parties to decide which proposals will be funded	Beginning of September 2016
Information of applicants about the results of the evaluation	Mid – End of September 2016
Preparation of national/ regional funding contracts/funding decisions	September until November 2016
Start of projects	November 2016 – January 2017
Provision of Consortium Agreement to the Call Secretariat	Before 31st January 2017
Maximum duration of projects	36 months

Annex 1: Topics for the 2nd ERANet-LAC Call in the thematic fields of Biodiversity/Climate Change, Bioeconomy, Energy,Health and ICT

Topic 1 BIODIVERSITY: Ecosystem-based adaptation and resilience

Specific challenge:
This topic addresses the implementation of ecosystems based management methodologies in a context of rapid and global changes. There is incomplete understanding how ecosystem (= landscape) change due to climate change can be mitigated and which role ecosystem resilience plays in this. Climate variability internalization, through interdisciplinary and regional approaches, permits the development of conservation measures, adaptive management strategies and sustainable resource use (biodiversity and ecosystem services). It is designed to increase public and private sector awareness on the impact of climate change and adaptation strategies regarding priorities at different spatial and temporal scales.

Scope:
The following sub-topics are envisaged, pooling both region capacities, means and priorities: Identification and development of standardized methodologies and tools, for the characterization of landscape attributes, such as connectivity, multi-functionality, sustainable uses and ecosystem planning and management under multiple stress conditions including organic and inorganic pollution, land use change, climate variability and change, invasive species, pathogens, etc. This will improve the evaluation and understanding of ecosystem vulnerability and their response to climate change, to design prioritized adaptation measures. These include methods to improve the sustainable management of natural resources, (especially water, soils and forests). Ecosystem resilience and the functional role of biodiversity should be better characterized in order to identify possible socio-ecological trade-offs, non-linearities, and feedbacks. Interface between science and policy should be developed in order to introduce dialogue and to facilitate transfer of knowledge. This combination of approaches helps to address the Aichi Biodiversity Targets:

- Strategic Goal A: To address the underlying causes of biodiversity loss by mainstreaming biodiversity across government and society
- Strategic Goal B: To reduce the direct pressures on biodiversity and promote sustainable use
- Strategic Goal C: To improve the status of biodiversity by safeguarding ecosystems, species and genetic diversity
- Strategic Goal D: To enhance the benefits to all from biodiversity and ecosystem services
- Strategic Goal E: To enhance implementation through participatory planning, knowledge management and capacity building

Expected impact for both regions:
Research cooperation on that topic induces major improvements in areas of health, water security, sustainable environment and fight against poverty and hunger, reducing social and economic impact of climate change. It will contribute to raise awareness and invest on appropriate infrastructures based on vulnerability assessment. It allows identifying specific systems of conservation reconciling conservation and development via habitat corridors and their services for biodiversity and ecosystem services.

Topic 2 BIODIVERSITY: Waste management, recycling and urban mining

Specific challenge:
There is a lack of recycling policies, practices and infrastructure in many Latin American countries. Increasing population along with the need for better resource efficiency and use of existing materials that are bound in infrastructure and cities pose challenges for development in these countries. The EU has implemented many waste directives in the member states over the last decades and can provide knowledge regarding best practices and issues to avoid in this context.

Scope:
The following sub topics should be considered in proposals:

- Screening of available and emerging technologies for recycling of different waste fractions like municipal solid waste, electric and electronic waste, vehicles and construction waste.
- Knowledge transfer from EU to Latin America regarding recycling policy, collection schemes, business models, recycling technologies and early experiences in the field of urban mining.

Expected impact for both regions:

- Suggestions for public waste policy based on experiences from EU producer responsibility policies.
- Transfer of recycling technology solutions and suitable business models for implementation of collection and recycling schemes.
- Knowledge transfer in the field of urban mining.

Topic 3 BIOECONOMY: Fractionation and valorisation of residual biomass to intermediate and/or final high added value bioproducts

Specific challenge:
The development of self-sustainable plants with minimum production of residues and fossil energy consumption is an important economic and environmental challenge. The development of modular units for fractionating and valorising similar multi-feedstock residues (agricultural and agro-industrial residues, and any organic disposable with good valorisation potential) will contribute to the debottlenecking of biorefineries development.

Scope:
Proposal should aim at assessing agro-industrial waste, characterizing and evaluating the potential uses of bio-based residues. The call will focus on process intensification and development of new technologies that contribute to the establishment of self-sustainable biomass processing sites. The development of flexible (also multi-feedstock) technologies to be integrated to current processing sites is expected.

Expected impact for both regions:

- Valorisation of agricultural and agro-industrial residues
- Energy intensification through efficient integration in a single site
- Environmental benefits at current biomass processing sites
- Assessment of losses in the feed and industrial chain, to help establishing regulatory frameworks,
- Development of new biotechnology-based businesses

Topic 4 BIOECONOMY: Lignocellulosic biorefinery platform: production of high-value bio-based products

Specific challenge:
Lignocellulosic biomass feedstock consists mainly of C6 and C5 sugars (cellulose, hemi-cellulose) and lignin. This renewable feedstock can be used for the production of sugar-based or phenolic-based bulk chemicals. Due to the high stability of lignocellulosic material, economically feasible production of bio-based chemicals is still a major challenge.

Scope:
Proposals should aim at the conversion of C5 and C6 sugars.The evaluation of lignin chemistry and conversion, as well as the use of lignocellulocic sources to produce chemical building-blocks for the chemical industry, are also important. Proposals should include environmental, economic and social sustainability assessment along the whole value chain (support activity).

Expected impact for both regions:

- Reduced pressure on edible renewable biomass for energy and chemicals production
- Reduced dependency on petrochemical products, such as furfural or phenol resin.
- New synthesis routes of renewable chemicals

Topic 5 ENERGY: Advancement of small/medium-scale wind turbines in EULAC countries

Specific challenge:
The specific challenges of this topic are:

1. Optimisation of small/medium-scale wind turbines to meet local wind regimes and regional infrastructure requirements (including 'tropicalisation' of turbine design, reduced maintenance requirements, integration into low-voltage grids).

2. Promotion of increased awareness of the potential of small/medium wind turbines at community/SME/agricultural levels.

Small/medium-scale wind turbines offer manufacturing opportunities for emerging markets (EU based research institutions are already exploring the continued development of small/medium-scale wind turbines5).
This topic will allow EU R&D and manufacturing institutions to expand into LAC markets, whilst allowing LAC countries to develop technologies specific to their technical and social needs.

Scope:
Small/medium-scale wind turbines offer manufacturing opportunities for emerging markets (EU based research institutions are already exploring the continued development of small/medium-scale wind turbines5).
This topic will allow EU R&D and manufacturing institutions to expand into LAC markets, whilst allowing LAC countries to develop technologies specific to their technical and social needs.

Expected impact for both regions:
Increased awareness of small/medium-scale wind turbines
Closer inter-regional links between R&D institutions, wind turbine manufacturers, policy makers and end-users.
Increased penetration of wind energy (an issue for European AND Latin America and Caribbean countries).
New turbine designed specifically for tropical environments (specific meteorological conditions, hurricanes, warmer/humid climates, atmospheric particles, lightning strikes).

Topic 6 ENERGY: Solar thermal energy - Energy storage technologies

Specific challenge:
Solar energy is electromagnetic energy incident on the earth's surface intermittently. This energy can be stored as thermal, chemical or electrical energy. The existing technologies such as supercapacitors, thermal storage tanks sensible heat or solar fuels production requires further development for mass use. Improved solar energy technologies at lower costs and higher performance are needed in order to contribute to variable renewable energy sources.

Scope:
Improve and develop new heat storage technologies towards energy applications in a variety of places addressing environmental issues.

Expected impact for both regions:
Both regions would count with new technologies for storing solar energy aimed at generating potential for a wider use of them; lowering its costs and increasing the use of solar energy in all sectors, contributing to transform the global energy system.

Topic 7 HEALTH: Cancer: Improving the quality of care and quality of life of dying cancer patients

Specific challenge:
In 2012, 2.35 million people died from cancer in EU LAC countries. Evidence identifies challenges and disparities in the quality of care in the last year of life, both within and across EU LAC countries. Developing innovative models and approaches to care require examination for impact and transferability.
Aim: to advance the international evidence base in the care of dying cancer patients by undertaking multicentre clinical trials and/or observational studies to establish core international standards and improving quality of life.

Scope:
The delivery of appropriate care for dying cancer patients remains a key medical, social, economic and political issue. An international project which engaged both EU and LAC counties (OPCARE9) has already identified areas of common research interest and potential learning between continents. EU LAC collaborative research is required to improve and expedite the equitable delivery of care for cancer patients at the end of life.

Expected impact:
The research will seek to inform and develop an evidenced based approach to systematically standardise assessment and care, utilising patient and relative generated outcomes. This will make a significant contribution to the care of dying cancer patients at a national and international level. It will potentiate future EU LAC research by the development of EU LAC collaborative clinical and research networks.

Topic 8 HEALTH: Research in prevention of infectious diseases and promotion of well-being

Specific challenge:
Despite the spectacular progress of modern medicine, infectious diseases remain a global threat for public health, especially in poor countries. Moreover, due to the lack of enough interest from the industry and limited market potentials other diseases have been neglected. Since poverty-related and neglected diseases are of high relevance to the present call due to their high burden in the LAC region, the present call will aim at fostering high quality research in the field.

Scope:
Project proposals shall address inter/multidisciplinary research in type II (e.g. malaria, TB, HIV/AIDS) and type III (neglected) infectious diseases of zoonotic and non-zoonotic origin corresponding to the classification used by the Consultative Expert Working Group (CEWG)1. Each project proposal must seek the translation from basic scientific findings to intervention and/or implementation.
Research should focus on one or more of:

- early detection, including both screening and diagnosis tools
- facilitating new therapeutic strategies led to decrease antimicrobial resistance or other complications related to infectious diseases
- molecular epidemiological studies leading to clinical trials or prediction and prevention tools/strategies
- observational studies

Vaccine studies and clinical trials are excluded.

Expected impact:
Project proposals must clearly demonstrate the potential health and/or economic impact as well as the added-value of transnational and regional collaboration by e.g. gathering a critical mass of patients/biological material, sharing of resources (models, databases, diagnosis etc.), comparison and harmonization of data and clinical practice, sharing of specific know-how and facilities and/or innovative technologies, etc.

Projects should deliver:

- New insights of scientific evidences for better diseases prevention, diagnosis and care of the persons and population affected
- Knowledge related to regional differences in prevalence, molecular epidemiology and antimicrobial resistance in order to develop better control programs
- Early warning systems and methods for rapid control of community effectiveness
- Solid evidences of effectiveness as best-value-for-money interventions

Topic 9 ICT: Improving wellbeing and inclusiveness through e-health, m-health, and active and assisted living (AAL) solutions

Please note: This research area was jointly recommended with the EU-CELAC SOM WG on Health in the thematic field "Prevention of diseases and promotion of well-being".

Specific challenge:
Access to effective health and social care and prevention, and promotion of wellbeing is often limited for certain at-risk populations, such as individuals having restricted access to services, including those living in remote areas.
E-Health, m-health, and AAL solutions make health systems more responsive to people's needs, expectations and support economic and social sustainability and inclusiveness.
National e-Health strategies are not common across the countries, and research initiatives have been scattered.

Scope:
Innovation in health systems is a strategic goal in EU-CELAC to be achieved through joint R&D initiatives and harmonization of standards, including data security and interoperability.
Proposals should focus on the study of pervasive ICT-based access to health and social care and prevention services. They should be based on a mapping of best practices, stakeholders and their needs and enable ecosystems for implementing e-Health, m-health, and AAL solutions, towards disease prevention, promotion of healthier lifestyles, and inclusiveness as serving remote areas. Patients/users involvement should be considered.

Expected impact for both regions:

- Enhanced mechanisms to prevent, monitor and treat health problems such as chronic conditions, infectious diseases and maternal and child health.
- Provided evidence on the effectiveness of e-health tools applicable to wellbeing promotion of EU-CELAC countries.
- Improved access and strengthened primary health care.
- Established synergies between CELAC-EU e-Health innovation to improve technology adoption and business opportunities.
- Modernized national e-Health strategies and action plans.

Topic 10 ICT: Smarter, inclusive and sustainable cities

Specific challenge:
Urbanization puts pressure on resources and city services. Over 80% of LAC people live in cities, requiring understanding of challenges cities face.
The increased unplanned urban growth results in decreased quality of life due to pollution, low quality transport, energy and water shortage, poor readiness for natural disasters, poor waste management, social exclusion, poor data management and scarce e-infrastructure. These challenges can be met with smart ICT solutions.

Scope:
Understanding cities as live laboratories for creating wellbeing, R&I will develop and implement innovative ICT solutions considering urban development as means of achieving social, economic and environment sustainable solutions.
Pilots or test beds shall be applied in both regions and increase contributions to the state of the art, develop smart cities technologies and support the generation of a bi-regional community for best practices in smart cities.

Expected impact for both regions:
A bi-regional cooperation on smart and inclusive cities will allow enhancing the quality of life of citizens using ICT.
Expected impacts are: increased social, economic and environmental sustainability of cities; enhanced human capital for innovation; more ICT-based business opportunities; proposed viable solutions for smart cities across different urban settings and increased joint efforts to implement digital services for smarter neighbourhoods.

Annex 2: Overview of contributions by the participating funding organizations to each topic

	AIF- GER	AKA - FIN	ANII - URU	BELSPO - BEL	CCST - TRT	CNPq - BRA	CNR - ITA	COLCIENCIAS - COL	CONACYT - MEX	CONCYT - GUA	CONICYT - CHL
Topic 1 Ecosystem-based adapt+ resilience	yes	700.000	25.000	250.000	30.000		75.000			185.000	190.000
Topic 2 Waste Management	yes		25.000				75.000			185.000	
Topic 3 Biorefinery Biomass	yes	400.000	25.000			50.000	75.000	50.000			190.000
Topic 4 Biorefinery 2 Products	yes		25.000			50.000	75.000	50.000			
Topic 5 Wind		400.000	25.000						105.000		
Topic 6 Solar Storage Technol.		400.000	25.000						105.000		190.000
Topic 7 Cancer	yes		25.000			50.000					
Topic 8 Infectious diseases	yes		25.000			50.000					
Topic 9 AAL			25.000			50.000					
Topic 10 Smart Cities			25.000			50.000			105.000		190.000
SUM per FA	**See National Funding Rules**	**1.900.000**	**250.000**	**250.000**	**30.000**	**300.000**	**300.000**	**100.000**	**315.000,€,up to $6,000,000 Mex.pesos**	**370.000**	**760.000**

SUM per FA	Topic 10 Smart Cities	Topic 9 AAL	Topic 8 Infectious diseases	Topic 7 Cancer	Topic 6 Solar Storage Technol.	Topic 5 Wind	Topic 4 Biorefinery 2 Products	Topic 3 Biorefinery Biomass	Topic 2 Waste Management	Topic 1 Ecosystem-based adapt+ resilience	
600.000	100.000		100.000		100.000			100.000	100.000	100.000	**CONCYTEC - PER**
20.000	10.000	10.000									**CSF - BAR**
1.100.000			183.000	183.000			183.000	183.000	183.000	183.000	**DLR - GER**
500.000	50.000	50.000	50.000	50.000	50.000	50.000	50.000	50.000	50.000	50.000	**FAPERJ - BRA**
400.000	40.000	40.000	40.000	40.000	40.000	40.000	40.000	40.000	40.000	40.000	**FAPESP - BRA**
300.000	50.000	50.000	50.000	50.000	50.000	50.000					**FCT – POR (TBC)**
300.000	100.000		100.000						100.000		**F.R.S.-FNRS - BEL**
300.000										300.000	**IRD - FRA**
250.000		83.333	83.333	83.334							**I SCII - SPA**
1.400.000	100.000	100.000	300.000		100.000	100.000	100.000	300.000	200.000	100.000	**MESCYT - DOM**
400.000	40.000	40.000	40.000	40.000	40.000	40.000	40.000	40.000	40.000	40.000	**MINCyT - ARG**

SUM per FA	Topic 10 Smart Cities	Topic 9 AAL	Topic 8 Infectious diseases	Topic 7 Cancer	Topic 6 Solar Storage Technol.	Topic 5 Wind	Topic 4 Biorefinery 2 Products	Topic 3 Biorefinery Biomass	Topic 2 Waste Management	Topic 1 Ecosystem-based adapt+ resilience	
500.000						300.000				200.000	**MINECO - SPA**
See above	Bolivian researchers are free to participate in all topics. In Bolivia, universities and research centers dispose of their own financial resources and are free to fund the international projects they consider relevant and according to their individual institutional funding regulations.										**MINEDU - BOL**
480.000			80.000	80.000	80.000		80.000	80.000	80.000		**NCBR-POL**
800.000		Topics 7+9 together: 320.000		Topics 7+9 together: 320.000			Topics 3+4 together: 480.000				**RCN - NOR**
300.000	30.000	30.000	30.000	30.000	30.000	30.000	30.000	30.000	30.000	30.000	**SENACYT - PAN**
800.000		200.000	300.000	300.000							**SANITA - ITA**
200.000	yes		yes				yes	yes		yes	**SENESCYT - ECU**
850.000	Budget for all topics together 850.000 €										**TUBITAK - TUR**
1.000.000	100.000	100.000	100.000	100.000	100.000	100.000	100.000	100.000	100.000	100.000	**UEFISCDI - ROM**
400.000	44.445	44.445	44.445	44.445	44.444	44.444	44.444	44.444	44.444		**VIAA - LAT**

Annex 3: Contact information, Call Contact Persons

ERANet-LAC Joint Call Secretariat and the National and Regional Contact Persons

The **ERANet-LAC Call Secretariat (CS)** is entrusted with the overall operational management of the ERANet-LAC Joint Call. It is the general contact point for first questions related to the Joint Call, the application process and the use of the CYTED webtools.

The **Call Contact Persons (CCPs)** are located in each country which participates in the ERANet-LAC Joint Call. One of their main tasks is to advise the potential applicants from their countries/regions on the applicable national/regional regulations during the proposal submission process.

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[3] See footnote on page 4

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[4] See footnote on page 4